附件1

**人民币合格境外机构投资者登记表**

# REGISTRATION FORM FOR RMB QUALIFIED FOREIGN INSTITUTIONAL INVESTORS

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **申请机构名称**  **Name of applicant** | **中文：** | | | | | |
| **English:** | | | | | |
| **托管人**  **Custodian** | **主报告人**  **Main Custodian[[1]](#footnote-2)** | | | **其他托管人1**  **Custodian1** | | **其他托管人2**  **Custodian2** |
|  | | |  | |  |
| **证券投资业务许可证号**  **No. of securities investment license** |  | | | **发证日期**  **Licensing date** | |  |
| **申请日期**  **Date of application** |  | | | **申请投资额度（百万人民币）**  **Intended investment quota(Million RMB)** | |  |
| **机构类别**  **Institution category** | **□基金管理公司 □保险公司 □证券公司/投行 □商业银行 □其他机构**  **□Fund management institution □Insurance company □Securities company/Investment bank**  **□Commercial bank □Other institution** | | | | | |
| **成立时间**  **Time of establishment** |  | **注册地址**  **Domicile of incorporation** | | **中文：** | | |
| **English:** | | |
| **上一会计年度实收资本（百万美元/人民币）Paid-up capital for the latest fiscal year(Million USD/RMB)** |  | | **上一会计年度资产规模（百万美元/人民币）**  **Assets for the latest fiscal year(Million USD/RMB)** | | |  |
| **最近三年是否受到所在国家或地区监管机构的处罚。如有，请具体说明。**  **Any penalty from the home regulator in recent 3 years. If any, please specify.** |  | | | | | |
| **主要管理人员简况**  **Brief description of the professionals** |  | | | | | |
| **资金来源说明**  **Statement on sources of the funds** |  | | | | | |
| **初步投资计划**  **Initial investment plan** |  | | | | | |
| **主要股东名称、注册地和股权比例（可用英文）Names, domiciles and share percentages of major shareholders (In English if necessary)** |  | | | | | |
| **主要关联机构名称和注册地（可用英文）Names and domiciles of major affiliates (In English if necessary)** |  | | | | | |
| **人民币合格投资者联系人**  **RQFII’s contact person**  **电话(Telephone)：**  **传真(Fax)：** |  | | | **主报告人联系人**  **Custodian agent’s contact person**  **电话(Telephone)：**  **传真(Fax)：** |  | |
|  | | |  | |
|  | | |  | |

**人民币合格投资者董事会授权人签名：**

**(Signature of the authorizee of RQFII’s board)**

1. RQFII机构仅有1家托管人的，默认为主报告人，在此栏填写。 [↑](#footnote-ref-2)