

## Qualified Domestic Institutional Investors(QDIIs) with Investment Quotas Granted by the SAFE

By September 30, 2019

Unit: 100 million USD

No.	Name of QDII	Latest Approval Date	Investment Quota
1	Bank of China, Ltd.	2018.06.28	8.00
2	Industrial and Commercial Bank of China Limited	2018.05.30	8.00
3	Bank of East Asia (China), Ltd.	2014.12.28	2.00
4	Bank of Communications Co., Ltd.	2006.07.27	5.00
5	China Construction Bank Corporation	2014.12.28	5.00
6	HSBC Bank (China) Company, Ltd.	2015.03.26	34.00
7	China Merchants Bank Co., Ltd.	2014.12.28	2.00
8	China Citic Bank	2006.09.18	1.00
9	Hang Seng Bank (China) Company, Ltd.	2006.09.27	0.30
10	Citibank (China) Co., Ltd.	2006.09.27	34.00
11	Industrial Bank	2014.12.28	1.00
12	Standard Chartered Bank (China), Ltd.	2015.01.30	20.00
13	Minsheng Bank	2006.11.08	1.00
14	China Everbright Bank	2014.12.28	1.00
15	Bank of Beijing	2006.12.11	0.50
16	Bank of China (Hong Kong), Ltd. Branches in Mainland	2007.01.11	0.30
17	Credit Suisse Shanghai Branch	2007.01.30	0.30
18	Agricultural Bank of China	2014.12.28	2.00
19	Nanyang Commercial Bank (China) Co.,	2015.02.13	1.80
20	Deutsche Bank (China) Co., Ltd.	2007.08.17	0.30
21	Shanghai Pudong Development Bank	2007.08.31	0.30
22	Bank of Shanghai	2008.01.24	0.30
23	DBS Bank (China), Ltd.	2010.07.28	8.85
24	BNP Paribas (China), Ltd.	2010.07.28	1.00
25	Société Générale (China), Ltd.	2010.09.01	1.00
26	OCBC Wing Hang Bank	2011.01.06	1.00
27	Australia and New Zealand Bank (China) Co., Ltd.	2014.10.30	3.15
28	United Overseas Bank (China), Ltd.	2012.10.25	3.00
29	UBS (China), Ltd.	2013.06.24	0.30
30	Ping An Bank Co., Ltd.	2014.09.22	2.00
	<b>Total of Banks</b>		<b>148.40</b>
31	Hua An Fund Management Co., Ltd.	2019.04.29	13.50
32	China Southern Asset Management Co.,	2019.04.29	27.50
33	China Asset Management Co., Ltd.	2019.04.29	36.50
34	Harvest Fund Management Co., Ltd.	2015.03.26	34.00
35	China International Fund Management Co., Ltd.	2015.01.30	27.00
36	ICBC Credit Suisse Asset Management Co., Ltd.	2014.12.28	3.00
37	Fortune SG Fund Management Co., Ltd.	2015.01.30	10.50
38	China International Capital Co., Ltd.	2014.12.28	22.00
39	HFT Investment Management Co., Ltd.	2015.01.30	5.00
40	Yinhua Fund Management Co., Ltd.	2018.04.24	6.00

41	CMS Asset Management -Co., Ltd.	2014.11.27	4.00
42	Bank of Communications Schroder Fund Management Co., Ltd.	2018.06.28	9.30
43	E Fund Management Co., Ltd.	2019.04.29	28.50
44	China Merchants Fund Management Co., Ltd.	2018.04.24	10.50
45	Bosera Funds	2018.04.24	16.00
46	China Universal Asset Management Co.,	2009.11.09	4.00
47	GF Fund Management Co., Ltd.	2018.05.30	10.00
48	Penghua Fund Management Co., Ltd.	2018.04.24	9.00
49	Changsheng Fund Management Co.,	2018.06.28	3.70
50	Guotai Asset Management Co., Ltd.	2019.04.29	5.50
51	UBS SDIC Fund Management Co., Ltd.	2015.03.26	18.00
52	CCB Principal Asset Management Co.,	2014.12.28	1.00
53	CITIC-Prudential Fund Management Company Ltd.	2018.06.28	7.80
54	Lion Fund Management Co., Ltd.	2014.12.28	3.00
55	Everbright Pramerica Fund Management Co., Ltd.	2014.12.28	5.50
56	Fullgoal Fund Management Co., Ltd.	2018.04.24	8.80
57	Dacheng Fund Management Co., Ltd.	2014.12.28	3.50
58	Bank of China Investment Management Co., Ltd.	2010.03.12	7.00
59	Manulife Teda Fund Management Co.,	2014.12.28	1.00
60	Huatai Securities (Shanghai) Asset Management Co., Ltd.	2018.04.24	3.00
61	ShangHai Guotai Junan Security Assets Management Co., Ltd.	2014.12.28	4.50
62	Chang Xin Asset Management Corporation, Ltd.	2014.12.28	4.50
63	Huatai-Pine Bridge Fund Management Co., Ltd.	2018.04.24	3.80
64	Everbright Securities Asset Management Co., Ltd.	2015.01.30	3.00
65	Invesco Great Wall Fund Management Company, Ltd.	2018.04.24	4.50
66	Franklin Templeton Sealand Fund Management Co., Ltd.	2015.02.13	7.00
67	Guosen Securities Co., Ltd.	2015.01.30	10.00
68	RongTong Fund Management Co., Ltd.	2015.01.30	9.00
69	GF Asset Management (Guangdong) Co., Ltd.	2018.05.30	17.00
70	CITIC Securities Co., Ltd.	2018.05.30	10.80
71	Essence Securities Company, Ltd.	2018.06.28	6.50
72	Shenwan Hongyuan Securities Co., Ltd.	2015.01.30	4.00
73	BOC International (China), Ltd.	2014.12.28	3.00
74	Galaxy Jinhui Security Asset Management Co., Ltd.	2013.01.24	4.00
75	Shanghai Haitong Securities Asset Management Co., Ltd.	2015.01.30	8.00
76	Pacific Securities Co., Ltd.	2014.04.30	2.00
77	Tianhong Asset Management Co., Ltd.	2018.04.24	2.00
78	Orient Fund Management Co., Ltd.	2018.04.24	1.30
79	Caitong Fund Management Co., Ltd.	2018.04.24	1.70

80	Industrial Securities Asset Management Co., Ltd.	2018.04.24	2.20
81	Bank of Beijing Scotiabank Asset Management Co., Ltd.	2018.04.24	2.00
82	AXA SPDB Investment Managers Co.,	2018.04.24	2.30
83	China Life Insurance Security Fund Management Co., Ltd.	2018.04.24	2.60
84	China Securities Co., Ltd	2018.05.30	2.60
85	SWS MU Fund Management Co., Ltd.	2018.05.30	2.00
86	Zhongrong Fund Management Co.,Ltd.	2018.05.30	1.40
87	First Seafront Fund Management	2018.05.30	2.00
88	Sinolink Securities Co., Ltd.	2018.06.28	1.00
	<b>Total of Securities Companies</b>		<b>468.80</b>
89	Ping An Insurance (Group) Co., Ltd.	2015.01.30	71.90
90	China Life Insurance (Group) Company	2006.12.14	1.00
91	PICC Property and Casualty Co., Ltd.	2014.12.28	8.00
92	China Life Insurance Co., Ltd.	2015.02.13	35.50
93	Taikang Life Insurance Co., Ltd.	2017.08.30	18.85
94	Generali China Life Insurance Co., Ltd.	2014.12.28	4.15
95	PICC	2015.01.30	3.15
96	Sino Life Insurance Co., Ltd.	2007.08.16	44.09
97	China Reinsurance (Group) Co., Ltd.	2007.10.18	5.00
98	China Pacific Insurance (Group) Co.,	2015.12.04	0.37
99	China Pacific Life Insurance Co., Ltd.	2018.04.24	16.80
100	PICC Health Insurance Co., Ltd.	2018.05.30	1.15
101	PICC Life Insurance Co., Ltd.	2018.06.28	5.34
102	AnBang Insurance Group Co., Ltd.	2014.12.28	7.10
103	Taiping Life Insurance Co., Ltd.	2015.03.26	3.20
104	Sinosafe General Insurance Co., Ltd.	2007.11.15	0.20
105	Huatai Property & Casualty Insurance Co., Ltd.	2007.11.15	0.30
106	Huatai Asset Management Co., Ltd.	2007.04.23	0.30
107	American International Assurance Company, Ltd.	2007.12.24	1.68
108	Bohai Property Insurance Co., Ltd.	2007.12.18	0.05
109	ICBC - AXA Life Insurance Co., Ltd.	2007.12.28	0.08
110	Dubon Property & Casualty Insurance Co., Ltd.	2008.01.23	0.25
111	Taiping General Insurance Co., Ltd.	2009.12.30	0.79
112	Asia-Pacific Property & Casualty Insurance Co., Ltd.	2010.04.14	0.25
113	China Property & Casualty Reinsurance Company, Ltd.	2010.05.31	1.92
114	China Export & Credit Insurance	2011.09.30	10.00
115	New China Life Insurance Company,	2015.01.30	22.00
116	Alltrust Property Insurance Company,	2012.12.26	0.30
117	Tian An Property Insurance Co., Ltd.	2015.03.26	8.00
118	Sunshine Life Insurance Corporation	2014.12.28	17.00
119	China Life Reinsurance Company, Ltd.	2013.12.09	10.00
120	Pramerica Fosun Life Insurance Co.,	2014.01.22	0.11
121	Taiping Reinsurance Co., Ltd., Beijing Branch	2014.02.25	0.50
122	Huaxia Life Insurance Company Limited	2015.01.30	7.00

123	Union Life Insurance Co., Ltd.	2014.02.25	2.00
124	Anbang Insurance Co., Ltd.	2015.01.30	11.00
125	China Life Asset Management Co., Ltd.	2014.10.30	1.30
126	China Post Life Insurance Co., Ltd.	2014.10.30	2.00
127	Taishan Property & Casualty Insurance Co., Ltd.	2015.01.30	0.20
128	Qianhai Life Insurance Co., Ltd.	2015.01.30	5.00
129	Taikang Asset Management- Company Limited	2015.01.30	3.00
130	China United Property Insurance Company, Ltd.	2018.04.24	2.00
131	Sun Life Everbright Life Insurance Co.,	2018.04.24	1.20
132	Guohua Life Insurance Co., Ltd.	2018.04.24	2.00
133	China Continent Property & Casualty Insurance Company	2018.04.24	1.40
134	China Pacific Property Insurance Co.,	2018.05.30	2.10
	<b>Total of Insurance Companies</b>		<b>339.53</b>
135	China Credit Trust Co., Ltd.	2014.11.27	16.00
136	Shanghai International Trust Co., Ltd.	2014.12.28	9.50
137	Zhonghai Trust Co., Ltd.	2018.04.24	3.00
138	Ping An Trust Co., Ltd.	2011.09.30	1.00
139	Dalian Huaxin Trust Co., Ltd.	2011.12.20	1.00
140	Hwabao Trust Co., Ltd.	2014.12.28	19.00
141	CITIC Trust Co., Ltd.	2014.12.28	9.50
142	New China Trust Co., Ltd.	2015.01.30	1.50
143	China Foreign Economy and Trade Trust Co., Ltd.	2014.09.22	5.00
144	CCB Trust Co., Ltd.	2014.11.27	4.00
145	Zhongrong International Trust Co., Ltd.	2014.11.27	3.00
146	China Industrial International Trust Co.,	2015.02.13	2.00
147	Beijing International Trust Co., Ltd.	2015.02.13	3.00
148	Bank of Communications International Trust Co., Ltd.	2015.03.26	2.00
149	Chang'an International Trust Co., Ltd.	2018.04.24	1.80
150	Chongqing International Trust Co., Ltd.	2018.05.30	0.50
151	SDIC Taikang Trust Co., Ltd.	2018.05.30	0.70
152	China Minsheng Trust Co., Ltd.	2018.05.30	0.60
	<b>Total of Trust Companies</b>		<b>83.10</b>
	<b>Total</b>		<b>1039.83</b>