附件1

**人民币合格境外机构投资者登记表**

# REGISTRATION FORM FOR RMB QUALIFIED FOREIGN INSTITUTIONAL INVESTORS

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| --- | --- |
| **申请机构名称****Name of applicant** | **中文：** |
| **English:** |
| **托管人****Custodian** | **主报告人****Main Custodian[[1]](#footnote-2)** | **其他托管人1** **Custodian1** | **其他托管人2****Custodian2** |
|  |  |  |
| **证券投资业务许可证号****No. of securities investment license** |  | **发证日期****Licensing date** |  |
| **申请日期****Date of application** |  | **申请投资额度（百万人民币）****Intended investment quota(Million RMB)** |  |
| **机构类别****Institution category** | **□基金管理公司 □保险公司 □证券公司/投行 □商业银行 □其他机构****□Fund management institution □Insurance company □Securities company/Investment bank** **□Commercial bank □Other institution** |
| **成立时间****Time of establishment** |  | **注册地址****Domicile of incorporation** | **中文：** |
| **English:** |
| **上一会计年度实收资本（百万美元/人民币）Paid-up capital for the latest fiscal year(Million USD/RMB)** |  | **上一会计年度资产规模（百万美元/人民币）****Assets for the latest fiscal year(Million USD/RMB)** |  |
| **最近三年是否受到所在国家或地区监管机构的处罚。如有，请具体说明。****Any penalty from the home regulator in recent 3 years. If any, please specify.** |  |
| **主要管理人员简况****Brief description of the professionals** |  |
| **资金来源说明****Statement on sources of the funds** |  |
| **初步投资计划****Initial investment plan** |  |
| **主要股东名称、注册地和股权比例（可用英文）Names, domiciles and share percentages of major shareholders (In English if necessary)** |  |
| **主要关联机构名称和注册地（可用英文）Names and domiciles of major affiliates (In English if necessary)** |  |
| **人民币合格投资者联系人****RQFII’s contact person****电话(Telephone)：****传真(Fax)：** |  | **主报告人联系人****Custodian agent’s contact person** **电话(Telephone)：****传真(Fax)：** |  |
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**人民币合格投资者董事会授权人签名：**

**(Signature of the authorizee of RQFII’s board)**

1. RQFII机构仅有1家托管人的，默认为主报告人，在此栏填写。 [↑](#footnote-ref-2)